



2874A

DOCKET NO.: PUAS-0016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Forrest et al.

Application No.: 09/982,001

Confirmation No.: 8094

Filing Date: October 18, 2001

Group Art Unit: 2874

For: TWIN WAVEGUIDE BASED DESIGN FOR PHOTONIC INTEGRATED
CIRCUITS

Examiner: Ullah, AKM E.

DATE OF DEPOSIT: April 28, 2003

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,
ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO THE COMMISSIONER OF PATENTS
AND TRADEMARKS, WASHINGTON, DC 20231.

TYPED NAME: John E. McGlynn
REGISTRATION NO.: 42,861

Box NON-FEE
 AF

Assistant Commissioner for Patents
Washington DC 20231

Sir:

REPLY TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified patent application is:

- A Preliminary Amendment.
- An Amendment Responsive to the Office Action Dated November 1, 2002
- An Amendment Supplemental to the Paper filed
- Other: Terminal Disclaimer.
- Applicant(s) has previously claimed small entity status under 37 CFR § 1.27.

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- Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as:
- an Independent Inventor
- a Small Business Concern
- a Nonprofit Organization
- This application is no longer entitled to small entity status. It is requested that this be noted in the files of the U.S. Patent and Trademark Office.
- Loss of Entitlement Enclosed
- Substitute Pages _____ of the Specification are enclosed.
- An Abstract is enclosed.
- Sheets of Proposed Corrected Drawings are enclosed.
- A Certified Copy of each of the following applications: _____ is enclosed.
- An Associate Power of Attorney is enclosed.
- Information Disclosure Statement.
- Attached Form 1449.
- A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.
- Appended Material as follows:
- Other Material as follows:

FEE CALCULATION

No Additional Fee is Due.

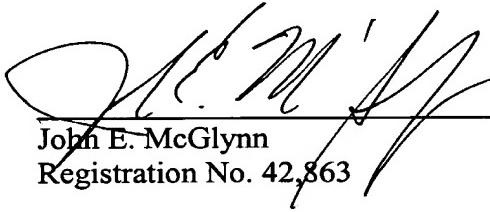
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	SMALL ENTITY RATE	NOT SMALL ENTITY RATE
TOTAL CLAIMS	27	27(20 MINIMUM)		\$9 EACH	\$18 EACH
INDEP. CLAIMS	2	3(3 MINIMUM)		\$42 EACH	\$84 EACH
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$280
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$110
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$205	\$410
<input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$465	\$930
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$725	\$1450
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$985	\$1970
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID			minus	(\$)	minus (\$)
<input checked="" type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$110
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:					
TOTAL FEE DUE				\$520.00	

- A check in the amount of \$520.00 attached. Please charge any deficiency or credit any overpayment to Deposit Account 23-3050.
- Petition is hereby made under 37 CFR § 1.136(a) (fees: 37 CFR § 1.17(a)(1)-(4) to extend the time for response to the Office Action of November 1, 2002 to and through May 1, 2003 comprising an extension of the shortened statutory period of three month(s).
- The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is provided in duplicate.
- The foregoing amount due for filing this paper.

- Any additional filing fees required, including fees for the presentation of extra claims under 37 CFR § 1.16.
- Any additional patent application processing fees under 37 CFR § 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the U.S. Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: April 28, 2003



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